



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Sun, et al.

Serial No.: 09/755,717

Confirmation No.:

Filed: January 5, 2001

For: Tantalum Removal During
Chemical Mechanical
Polishing

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Group Art Unit: Unknown

Examiner: Unknown

RECEIVED

APR 23 2001

TC 1700

CERTIFICATE OF MAILING
37 CFR 1.8

I hereby certify that this correspondence is being deposited on April 10, 2001 with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

April 10, 2000
Date

Signature _____

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.


While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Applicant does not believe a fee is necessary, but if the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/5538/EJS.

Respectfully submitted,



Eddy J. Song
Registration No. 43,144
THOMASON, MOSER & PATTERSON, L.L.P.
3040 Post Oak Blvd., Suite 1500
Houston, TX 77056
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicant(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5538	Serial No. 09/755,717
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Sun, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date January 5, 2001	Group Unknown
Examiner Unknown			

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,117,783	09-12-2000	Small, et al.	438	693	07-21-1997
	A2	6,083,840	07-04-2000	Mravic, et al.	438	693	11-25-1998
	A3	6,074,949	06-13-2000	Schonauer, et al.	438	692	11-25-1998
	A4	6,001,730	12-14-1999	Farkas, et al.	438	627	10-20-1997
	A5	5,985,755	11-16-1999	Bajaj, et al.	438	645	03-24-1997
	A6	5,985,748	11-16-1999	Watts, et al.	438	622	12-01-1997
	A7	5,893,796	04-13-1999	Birang, et al.	451	526	08-16-1996
	A8	5,842,910	12-01-1998	Krywanczyk, et al.	451	41	03-10-1997
	A9	5,820,450	10-13-1998	Calhoun	451	530	05-19-1997
	A10	5,770,095	06-23-1998	Sasaki, et al.	216	38	07-11-1995
	A11	5,693,563	12-02-1997	Teong	437	190	07-15-1996
	A12	5,692,950	12-02-1997	Rutherford, et al.	451	552	08-08-1996
	A13	5,676,587	10-14-1997	Landers, et al.	451	57	12-06-1995

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	"Aluminum Based Multilevel Metallizations", from Handbook of Multilevel Metallization for Integrated Circuits, Wilson & Tracy, Eds. (1993), pp. 139-140
	C2	USSN 09/401,643, filed September 22, 1999
	C3	USSN 09/569,968, filed May 11, 2000

Examiner	Date Considered
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5538	Serial No. 09/755,717
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Sun, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date January 5, 2001	Group Unknown
Examiner Unknown			

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A14	5,454,844	10-03-1995	Hibbard, et al.	51	295	10-29-1993
	A15	5,453,312	09-26-1995	Haas, et al.	428	143	10-29-1993
	A16	5,014,468	05-14-1991	Ravipati, et al.	51	295	05-05-1989

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C4	USSN 09/741,538, filed December 19, 2000
	C5	USSN 09/469,709, filed November 29, 2000

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.